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Robert D. Summers, Jr., Reg. No. 57,844

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: McGiffin et al.

Appln. No.: 10/783,841

Filed: February 20, 2004

For: ACCOUNT LEVEL
PARTICIPATION FOR
UNDERWRITING COMPONENTS

Examiner: Swartz, Jamie H.

Art Unit: 3694

Confirmation No. 7513

Attorney Docket No: 10022/444

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Assignee has timely filed the following amendments and response to the non-final Office Action mailed on December 13, 2007. Assignee respectfully request reconsideration and allowance of the present claims in view of the following remarks and amendments.

Amendments to the Claims begin on page 2 of this response.

Remarks and Arguments begin on page 7 of this response.